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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:

Kanti Jain  
Albert L. Rubin

**Additional name(s) of conveying party(ies) attached?** ☐ Yes ☐ No

### 3. Nature of conveyance:

### ☒ Assignment

### ☐ Merger

☐ **Security Agreement**

☐ **Change of Name**

☐ Other

**Execution Date:** January 12, 1994

**2. Name and address of receiving party(ies)**

Name: The Rogosin Institute

**Internal Address:**

**Street Address:** 505 East 70th Street

City: New York State: NY ZIP: 10021

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: January 12, 1994

**A. Patent Application No.(s)**

**B. Patent No.(s)**

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: FELFE & LYNCH

Internal Address: John A. Bauer

**Street Address:** 805 Third Avenue

City: New York State: NY ZIP: 10022

**6. Total number of applications and patents involved:**

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ **Enclosed**

☒ Authorized to be charged to deposit account

**8. Deposit account number:**

06-0530

(Attach duplicate copy of this page if paying by deposit account)

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**9. Statement and signature.**

**To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.**

John A. Bauer  
Name of Person Signing

**Signature**

January 13, 1994  
Date

**Total number of pages including cover sheet, attachments, and document:**

**Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231**

**ASSIGNMENT**

WHEREAS the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

(Title of **MACROENCAPSULATED SECRETORY CELLS**  
application)

for which an application for United States Letters Patent was executed concurrently herewith unless otherwise indicated.

WHEREAS	<b>The Rogosin Institute</b>
(Name and address	<b>505 East 70th Street</b>
of Assignee)	<b>New York, NY 10021</b>

a New York corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, full and exclusive right to the said invention in the United States and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, and all other countries.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

1/12/94

Kanti Jain

Kanti Jain

1/12/94

Albert L. Rubin

Albert L. Rubin

**FELFE & LYNCH, 805 Third Avenue, New York, New York 10022**  
**(212) 688-9200**

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MACROENCAPSULATED SECRETORY CELL, the specification of which

(X) is attached hereto.

( ) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

**Foreign Priority Applications**

I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

		<u>Priority Claimed</u>
		Yes ( ) No ( )
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)

**U.S. Priority Applications**

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Applic. Serial No.)	_____ (Filing Date)	_____ (Status patented/pending/abandoned)
_____ (Applic. Serial No.)	_____ (Filing Date)	_____ (Status patented/pending/abandoned)

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Hallie R. Levie, Reg. No. 31,116; Charles A. Blank, Reg. No. 17,419; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine Tsai, Reg. No. 34,266 and John A. Bauer, Reg. No. 32,554 my attorneys with full power of substitution and revocation. Address all telephone calls to John A. Bauer, (212) 688-9200. Address all correspondence to:

FELFE & LYNCH  
805 Third Avenue  
New York, New York 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Kanti Jain

Full Name/Sole or First Inventor

Kanti Jain  
Signature

1/12/94  
Date

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(3)

Full Name/Third Inventor

Signature

Date

Residence: \_\_\_\_\_

Citizenship

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